

JC02 Rec'd PCT/PTO 27 MAR 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 056258-5001
International Application. No.	International Filing Date	U.S. Application No. 10/089174 Unassigned
PCT/GB00/04083	October 23, 2000	Priority Date Claimed October 29, 1999

Title of Invention: DISAZO DYESTUFFS FOR INK JET PRINTING

Applicant For EO/EO/US: Prahalad Manibhai MISTRY

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information:
 - a. PCT/IPEA/409

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U.S. APPLICATION NO. **10/089174** | INTERNATIONAL APPLICATION NO. **PCT/GB00/04083** | ATTORNEY DOCKET NUMBER **056258-5001**


15. ☒ The following fees are submitted:
Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):
 Search Report has been prepared by the EPO or JPO.....\$890.00
 International preliminary examination fee paid to
 USPTO (37 C.F.R. § 1.482).....\$710.00
 No international preliminary examination fee paid to
 USPTO (37 C.F.R. § 1.482) but international search fee
 paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00
 Neither international preliminary examination fee
 (37 C.F.R. § 1.482) nor international search fee
 (37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00
 International preliminary examination fee paid to USPTO
 (37 C.F.R. § 1.482) and all claims satisfied provisions
 of PCT Article 33(2)-(4).....\$100.00
ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
☐ 20 ☒ 30 months from the earliest claimed priority date
 (37 C.F.R. § 1.492(e)). \$

Claims	Number Filed	Number Extra	Rate	
Total Claims	31 - 20 =	11	X \$18.00	\$ 198.00
Independent Claims	1 - 3 =	0	X \$84.00	\$
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 280.00
TOTAL OF ABOVE CALCULATIONS				\$ 1368.00
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
SUBTOTAL =				\$ 1368.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
TOTAL NATIONAL FEE =				\$ 1368.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$ 40.00
TOTAL FEES ENCLOSED =				\$ 1408.00
Amount to be refunded				\$
Amount to be charged				\$ 1408.00

- a. ☒ Please charge Deposit Account No. 50-0310 in the amount of **\$1408.00**
 to cover the above fees. A duplicate copy of this sheet is enclosed.
- b. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized
 by this paper to charge any additional fees during the entire pendency of this application
 including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any
 overpayment to Deposit Account No. 50-0310.

Customer No. 09629
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 Paul N. Kokulis
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Submitted: March 27, 2002

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Avecia Limited

COMPOUNDS